



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: **Frazier et al.**

Serial No.: **09/692,346**

Filed: **October 19, 2000**

**For: Method and Apparatus for  
Reliably Choosing a Master Network  
Manager During Initialization of a  
Network Computing System**

**35525**

PATENT TRADEMARK OFFICE  
CUSTOMER NUMBER

§  
§  
§  
§  
§  
§

Group Art Unit: **2153**

Examiner: **Edelman, Bradley E.**

Attorney Docket No.: **AUS9-2000-0632-US1**

**Certificate of Mailing Under 37 C.F.R. § 1.8(a)**

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 1, 2004.

By:

*Kit Cruz-Verona*  
Kit Cruz-Verona

**TRANSMITTAL DOCUMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:  
ENCLOSED HEREWITH:

- Information Disclosure Statement;
- Form PTO-1449;
- References AA-BD;
- Check in the amount of \$180.00; and
- Our return postcard.

A fee in the amount of \$180.00 is required. A check for this amount is enclosed. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 50-0392.

Respectfully submitted,

*Duke W. Yee*  
Duke W. Yee  
Registration No. 34,285  
**CARSTENS, YEE & CAHOON, LLP**  
P.O. Box 802334  
Dallas, Texas 75380  
(972) 367-2001  
ATTORNEY FOR APPLICANTS

**RECEIVED**

**APR 08 2004**

**Technology Center 2100**



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Frazier et al.**

Serial No.: **09/692,346**

Filed: **October 19, 2000**

For: **Method and Apparatus for Reliably  
Choosing a Master Network Manager  
During Initialization of a Network  
Computing System**

§

§ Group Art Unit: **2153**

§

§ Examiner: **Edelman, Bradley E.**

§

§ Attorney Docket No.: **AUS9-2000-0632-US1**

§

§

§

§

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RECEIVED**

**APR 08 2004**

Sir:

**Technology Center 2100**

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

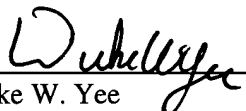
Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 09-0447.

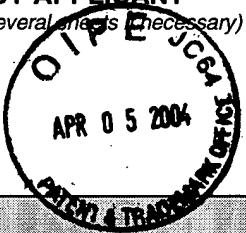
In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

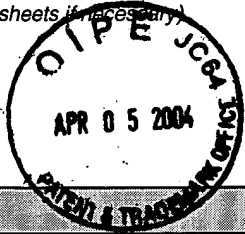
This Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance. A check for the required fee of \$180.00 as set forth in § 1.17(p) is enclosed.

Date: April 1, 2004

Respectfully submitted,

  
\_\_\_\_\_  
Duke W. Yee  
*Registration No. 34,285*  
Carstens, Yee & Cahoon, L.L.P.  
P.O. Box 802334  
Dallas, Texas 75380  
(972) 367-2001

<b>Form PTO-1449</b>  <b>LIST OF PRIOR ART CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>			ATTORNEY DOCKET NO. <b>AUS9-2000-0632-US1</b>		SERIAL NO. <b>09/692,346</b>	
			APPLICANT <b>Frazier et al.</b>			
			Technology Center 2100			
FILING DATE <b>October 19, 2000</b>			GROUP ART UNIT <b>2153</b>			
<b>U.S. PATENT DOCUMENTS</b>						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/SUBCLASS	FILING DATE	
	AA 6,597,956 B1	Jul. 22, 2003	Aziz et al.	700/3	Aug. 2, 2000	
	AB 5,805,072	Sep. 8, 1998	Kakemizu	340/825.03	Dec. 1, 1995	
	AC 5,185,741	Feb. 9, 1993	Iguchi et al.	370/110.1	May 30, 1990	
	AD 6,434,113 B1	Aug. 13, 2002	Gubbi	370/216	Apr. 9, 1999	
	AE 5,551,066	Aug. 27, 1996	Stillman et al.	455/69	Feb. 27, 1995	
	AF 5,758,083	May 26, 1998	Singh et al.	395/200.53	Oct. 30, 1995	
	AG 6,343,320 B1	Jan. 29, 2002	Fairchild et al.	709/224	Jun. 9, 1998	
	AH 6,389,432 B1	May 14, 2002	Pothapragada et al.	707/205	Apr. 5, 1999	
	AI 6,694,361 B1	Feb. 17, 2004	Shah et al.	709/222	Jun. 30, 2000	
	AJ 4,975,829	Dec. 4, 1990	Clarey et al.	364/200	Sep. 22, 1986	
	AK 6,529,286 B1	Mar. 4, 2003	King	358/1.14	Dec. 22, 1998	
	AL 6,330,555 B1	Dec. 11, 2001	Weber	707/2	Feb. 19, 1999	
	AM 5,185,736	Feb. 9, 1993	Tyrrell et al.	370/55	May 12, 1989	
	AN 6,674,911 B1	Jan. 6, 2004	Pearlman et al.	382/240	Sep. 25, 2002	
	AO 5,617,537	Apr. 1, 1997	Yamada et al.	395/200.01	Oct. 3, 1994	
	AP 5,218,680	Jun. 8, 1993	Farrell et al.	395/325	Mar. 15, 1990	
	AQ 6,098,098	Aug. 1, 2000	Sandahl et al.	709/221	Nov. 14, 1997	
	AR 6,496,503 B1	Dec. 17, 2002	Pelissier et al.	370/389	Jun. 1, 1999	
	AS 4,951,225	Aug. 21, 1990	Lee et al.	364/513	Nov. 14, 1988	
	AT 6,269,396 B1	Jul. 31, 2001	Shah et al.	709/223	Dec. 11, 1998	
	AU 6,032,191	Feb. 29, 2000	Chowdhury et al.	709/238	Oct. 28, 1997	
	AV 6,222,822 B1	Apr. 24, 2001	Gerardin et al.	370/230	Mar. 31, 1997	
DATE CONSIDERED			EXAMINER			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

<b>Form PTO-1449</b>  <b>LIST OF PRIOR ART CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>			ATTORNEY DOCKET NO. <b>AUS9-2000-0632-US1</b>		SERIAL NO. <b>09/692,346</b>  <div style="text-align: center; font-weight: bold; font-size: 1.2em;">RECEIVED</div> <div style="text-align: center;">APR 08 2004</div>	
			APPLICANT <b>Frazier et al.</b>  <div style="text-align: right;"><b>Technology Center 2100</b></div>			
			FILING DATE <b>October 19, 2000</b>		GROUP ART UNIT <b>2153</b>	
<b>U.S. PATENT DOCUMENTS</b>						
EXAMINER INITIAL	DOCUMENT NO.		PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
	<b>AW</b>	<b>5,513,368</b>	<b>Apr. 30, 1996</b>	<b>Garcia, Jr. et al.</b>	<b>395/842</b>	<b>Jul. 16, 1993</b>
	<b>AX</b>	<b>5,402,416</b>	<b>Mar. 28, 1995</b>	<b>Cieslak et al.</b>	<b>370/60</b>	<b>Jan. 5, 1994</b>
	<b>AY</b>	<b>6,636,520 B1</b>	<b>Oct. 21, 2003</b>	<b>Jason et al.</b>	<b>370/401</b>	<b>Dec. 21, 1999</b>
	<b>AZ</b>	<b>6,108,739</b>	<b>Aug. 22, 2000</b>	<b>James et al.</b>	<b>710/113</b>	<b>Apr. 29, 1999</b>
	<b>BA</b>	<b>5,617,424</b>	<b>Apr. 1, 1997</b>	<b>Murayama et al.</b>	<b>370/389</b>	<b>May 3, 1996</b>
	<b>BB</b>	<b>2002/0133620</b>	<b>Sep. 19, 2002</b>	<b>Krause</b>	<b>709/238</b>	<b>Mar. 15, 2002</b>
	<b>BC</b>	<b>2002/0026517</b>	<b>Feb. 28, 2002</b>	<b>Watson, Jr.</b>	<b>709/228</b>	<b>Jun. 29, 2001</b>
<b>FOREIGN PATENT DOCUMENTS</b>						
EXAMINER INITIAL	DOCUMENT NO.		PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES      NO
	<b>BD</b>	<b>WO 00/72159</b>	<b>Nov. 30, 2000</b>	<b>WIPO</b>	<b>G06F 13/00</b>	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
<b>OTHER PRIOR ART (including author, title, date, pertinent page, etc.)</b>						
DATE CONSIDERED				EXAMINER		
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						